

**UT Health Science Center at Tyler
FY 2020 Annual Audit Plan**

Code	FY 2020 Audit Plan	Original Budget	Percent of Total
	Assurance Engagements		
20-1	Controlled Substance Agreements Audit	450	
20-2	Medical Devices Audit	450	
20-3	Research Grants Audit	500	
20-4	Accounts Payable Audit	400	
	Assurance Engagements Subtotal	1800	41.7%
	Advisory and Consulting Engagements		
20-5	Institutional Committees and Workgroups - Advisory Role	200	
20-6	Institutional Strategic Initiatives	100	
20-7	Opioid Stewardship Committee - Advisory Role	40	
20-8	Training provided by Internal Audit	200	
20-9	Data Analytics	150	
	Advisory and Consulting Engagements Subtotal	690	16.0%
	Required Engagements		
20-10	State Institution of Higher Education Contracting Assessment	50	
20-11	Benefits Proportionality	175	
20-12	Family Medicine Residency Program Grant Audit FYE 8/31/2019	100	
20-13	Financial Statement Audit Assistance	30	
20-14	CPRIT Grant External Audit (assistance to management)	20	
	Required Engagements Subtotal	375	8.7%
	Investigations		
20-15	Investigations - Assistance	50	
	Investigations Subtotal	50	1.2%
	Reserve		
TBD	Reserve for TBD Engagements	300	
	Reserve Subtotal	300	7.0%
	Follow-Up		
CATS/TM	Follow-up procedures conducted to verify the implementation status	65	
	Follow-Up Subtotal	65	1.5%
	Development - Operations		
	Annual Risk Assessment and Audit Plan Development	200	
	Institutional Audit Committee Preparation and Participation	225	
	Quality Initiatives	175	
	UT System & SAO Reports and Requests	50	
	Automated Tools Skills Development and Maintenance	75	
	UT Health CAEs Monthly Collaborative Meetings	25	
	UT Health East Texas Monthly Collaborative Meetings	25	
	Development - Operations Subtotal	775	18.0%
	Development - Initiatives and Education		
	System Audit Office initiatives participation	50	
	Professional organization/association participation	50	
	Individual Continuing Professional Education (CPE) Training, including	160	
	Development - Initiatives and Education Subtotal	260	6.0%
	Total Budgeted Hours	4315	100.0%