

**The University of Texas Health Science Center at Tyler
FY 2021 Annual Audit Plan**

FY 2021 Audit Plan	Budget	Percent of Total
Assurance Engagements		
Stark Law Physician Contract Audit (Subsequent to the Master Services Agreement (MSA)) - Attorney-Client Privilege	450	
EPIC Post-Implementation Audit	500	
Employee Off-Boarding Audit	400	
Carryforward of Assurance Engagements	200	
Assurance Engagements Subtotal	1550	35.0%
Advisory and Consulting Engagements		
Institutional Committees and Workgroups - Advisory Role	50	
UTHSCT/UT Tyler Integration - Advisory Role	100	
UTHSCT & UT Health East Texas Clinical Operations Management Agreement (COMA) Review	100	
Institutional work from home procedural review	100	
Review of compliance with national guidelines for animal facilities	100	
EPIC Pre-Implementation Workgroup	50	
Institutional Strategic Initiatives	150	
Opioid Stewardship Committee - Advisory Role	40	
Training provided by Internal Audit	200	
Data Analytics	150	
Advisory and Consulting Engagements Subtotal	1040	23.5%
Required Engagements		
State Institution of Higher Education Contracting Assessment	50	
Family Medicine Residency Program Grant Audit FYE 8/31/2020	125	
Financial Statement Audit Assistance	50	
CPRIT Grant External Audit (assistance to management)	25	
Required Engagements Subtotal	250	5.6%
Investigations		
Investigations - Assistance	50	
Investigations Subtotal	50	1.1%
Reserve		
Reserve for TBD Engagements	300	
Reserve Subtotal	300	6.8%
Follow-Up		
Follow-up procedures conducted to verify the implementation status of past recommendations made	65	
Follow-Up Subtotal	65	1.5%
Development - Operations		
Annual Risk Assessment and Audit Plan Development	250	
Institutional Audit Committee Preparation and Participation	250	
Quality Initiatives	100	
UT System & SAO Reports and Requests	50	
Automated Tools Skills Development and Maintenance	75	
UT Health CAEs Monthly Collaborative Meetings	35	
UT Health East Texas Monthly Collaborative Meetings	50	
Development - Operations Subtotal	810	18.3%
Development - Initiatives and Education		

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System Audit Office initiatives participation	100	
Professional organization/association participation	100	
Individual Continuing Professional Education (CPE) Training, including related travel	160	
Development - Initiatives and Education Subtotal	360	8.1%
Total Budgeted Hours	4425	100.0%