

**The University of Texas Health Science Center at Tyler
FY 2014 Audit Plan**

Project No.	FY 2014 Audit Plan Audit/Project	Budgeted Hours	% of Total
<i>Financial</i>			
14-01	FY 2013 Financial Statement Audit (final procedures)	75	
14-02	Presidential Travel and Entertainment Expenses Audit	40	
14-03	Executives' Travel and Entertainment Expenses Audit	100	
14-04	FY 2014 Financial Statement Audit (interim procedures)	80	
14-05	UTS Policy 142.1 Testing	10	
14-06	Supply Inventory Recounts	16	
	Financial Subtotal	<u>321</u>	11%
<i>Operational</i>			
14-07	Pharmacy Audit	350	
14-08	Patient Revenue Cycle Audit	350	
	Operational Subtotal	<u>700</u>	23%
<i>Compliance</i>			
14-09	OMB Circular A-133 Research Cluster FYE 8/31/2013 Follow-Up Assistance to the SAO	20	
14-10	Family Medicine Residency Program Grant Audit FYE 8/31/2013	75	
14-11	MSRDP Faculty Practice Plan Audit	250	
14-12	Consulting - Meaningful Use Assessment and Compliance Committee - Advisory Role	40	
	Compliance Subtotal	<u>385</u>	13%
<i>Information Technology</i>			
14-13	TAC § 202 - Online Banking and PeopleSoft Financial System Audit	400	
14-14	Electronic Health Records Audit - HIPAA Security Rule	250	
	Information Technology Subtotal	<u>650</u>	22%
<i>Follow-up</i>			
CATS Reports	Quarterly Follow-Up and Validation of Outstanding Audit Recommendations	150	
	Follow-up Subtotal	<u>150</u>	5%
<i>Projects</i>			
	Training Provided by Internal Audit	40	
	Project Management Collaboration and Oversight	20	
	Institutional Committees/Workgroups - Advisory Role	36	
	Annual Risk Assessment & Audit Plan Preparation	140	
	UT System & SAO Reports & Requests	40	
	Internal Audit Committee Preparation/Participation	100	
	Annual Quality Assessment Activities	30	
	External Quality Assessment	80	
	Automated Audit Tools	40	
	Projects Subtotal	<u>526</u>	18%
<i>Reserve</i>			
14-15	Reserve for TBD Engagements	270	
	Reserve Subtotal	<u>270</u>	9%
	Total Hours	<u><u>3002</u></u>	100%