

UT Health Northeast
Fiscal Year 2015 Audit Plan

Project No.	FY 2015 Audit Plan Audit/Project	Budgeted Hours	% of Total
<i>Financial</i>			
15-01	FY 2014 Financial Statement Audit (final procedures)	77	
15-02	Presidential Travel and Entertainment Expenses Audit	40	
15-03	Executives' Travel and Entertainment Expenses Audit	100	
15-04	FY 2015 Financial Statement Audit (interim procedures)	108	
15-05	UTS Policy 142.1 Testing	10	
15-06	Supply Inventory Recounts	16	
	Financial Subtotal	<u>351</u>	12%
<i>Operational</i>			
15-07	Patient Revenue Cycle Audit	350	
15-08	Leave Management Review	275	
	Operational Subtotal	<u>625</u>	21%
<i>Compliance</i>			
15-09	Family Medicine Residency Program Grant Audit FYE 8/31/2014	75	
15-10	MSRDP Faculty Practice Plan Audit	250	
15-11	Clinical Research & IRB Ongoing Monitoring	100	
15-12	Section 1115 Medicaid Waiver Region 1 Anchor Administrative Costs Consulting Engagement	100	
15-13	Section 1115 Medicaid Waiver DSRIP Consulting Engagement	220	
15-14	OMB Uniform Guidance Training Project	80	
	Compliance Subtotal	<u>825</u>	27%
<i>Information Technology</i>			
15-15	Follow-Up on Presidio PCI and HIPAA Vulnerability Risk Assessment Report	200	
	Information Technology Subtotal	<u>200</u>	7%
<i>Follow-up</i>			
CATS Reports	Quarterly Follow-Up and Validation of Outstanding Engagement Recommendations	146	
	Follow-up Subtotal	<u>146</u>	5%
<i>Projects</i>			
	Training Provided by Internal Audit	40	
	Project Management Collaboration and Oversight	20	
	Institutional Committees/Workgroups - Advisory Role	60	
	Annual Risk Assessment & Audit Plan Preparation	140	
	UT System & SAO Reports & Requests	40	
	Audit Committee Preparation/Participation	125	
	Annual Quality Assessment Activities	40	
	External Quality Assessment Action Plan Implementation	100	
	Automated Audit Tools	40	
	Projects Subtotal	<u>605</u>	20%
<i>Reserve</i>			
15-16	Reserve for TBD Engagements	250	
	Reserve Subtotal	<u>250</u>	8%
	Total Hours	<u><u>3,002</u></u>	100%