

**UT Health Northeast
FY 2016 Internal Audit Plan**

Project No.	Engagements Financial Audits and Projects	Budgeted Hours	Percent of Total
16-01	FY 2015 Financial Statement Audit - Final Procedures (assistance to the external auditor)	100	
16-02	FY 2016 Financial Statement Audit - Interim Procedures (assistance to the external auditor)	80	
16-03	FY 2015 UTS 142.1 Assurance Work	10	
16-04	Supply Inventory Recounts	15	
	Financial Subtotal	205	6.5%
	Operational Audits and Projects		
16-05	President's Travel, Entertainment and University Residence Maintenance Expenses Audit (assistance to the System Audit Office)	20	
16-06	Executives' Travel and Entertainment Expenses Audit	125	
16-07	MSRDP Faculty Practice Plan Audit	250	
16-08	Patient Revenue Audit	325	
16-09	Audit of Key Outsourced Functions	375	
	Operational Subtotal	1,095	34.8%
	Compliance Audits and Projects		
16-10	Audit of Benefits Paid Proportional by Fund	150	
16-11	Family Medicine Residency Program Grant Audit FYE 8/31/2015	75	
16-12	CPRIT Grant External Audit (assistance to management)	20	
16-13	Transition to Quality- and Cost-Based Payment Models - Advisory Role	80	
16-14	State Institution of Higher Education Contracting Assessment	100	
	Compliance Subtotal	425	13.5%
	Information Technology Audits and Projects		
16-15	TAC §202 Compliance Audit	250	
16-16	PeopleSoft Upgrades Implementation - Advisory Role	100	
	Information Technology Subtotal	350	11.1%
	Follow Up		
CATS Reports	Quarterly Follow-Up and Validation of Outstanding Audit Recommendations	123	
	Follow Up Subtotal	123	3.9%
	Development - Operations		
	Annual Risk Assessment and Audit Plan Development	140	
	Internal Audit Committee Preparation and Participation	125	
	Institutional Committees and Workgroups - Advisory Role	60	
	Internal Quality Assurance and Improvement Program Activities	25	
	UT System & SAO Reports and Requests	40	
	Training Provided by Internal Audit	40	
	Project Management Collaboration and Oversight	20	
	Development - Operations Subtotal	450	14.3%
	Development - Initiatives and Education		
	System Audit Office Initiatives Participation	30	
	External Quality Assessment Action Plan Implementation	75	
	Individual Continuing Professional Education (CPE) Training	110	
	Automated Audit Tools Skills Development	30	
	Development - Initiatives and Education Subtotal	245	7.8%
	Reserve		
	Reserve for TBD Engagements	250	
	Reserve Subtotal	250	8.0%
	Total Budgeted Hours	3,143	100.0%