

**UT Health Northeast  
FY 2017 Internal Audit Plan**

Project No.	Engagements	Budgeted Hours	Percent of Total
<b>Risk Based Audits</b>			
17-01	MSRDP Faculty Practice Plan Audit	300	
17-02	Patient Revenue Audit	425	
17-03	Sponsored Programs Audit	375	
17-04	PeopleSoft Financial Management System Audit	400	
17-05	Carryforward Audits	150	
	<b>Risk Based Audits Subtotal</b>	<b>1650</b>	<b>37.5%</b>
<b>Required Audits (Externally and Internally)</b>			
17-06	Financial Statement Audit Assistance (provide assistance to external auditor)	100	
17-08	Executive's Travel and Entertainment Expense Audit	150	
17-09	CPRIT Grant External Audit (assistance to management)	25	
17-10	Family Medicine Residency Program Grant Audit FYE 8/31/2016	80	
17-11	UTS 142.1 Assurance Work	16	
17-12	Supply Inventory Recounts	16	
	<b>Required Audits Subtotal</b>	<b>387</b>	<b>8.8%</b>
<b>Consulting Projects</b>			
17-13	Patient Revenue Cycle Advisory Team Participation	300	
17-14	PeopleSoft Upgrades Implementation - Advisory Role	100	
17-15	Transition to Quality and Cost-Based Payment Models - Advisory Role	80	
17-16	Electronic Medical Record Advisory Team Participation	250	
	<b>Consulting Subtotal</b>	<b>730</b>	<b>16.6%</b>
<b>Investigations</b>			
17-17	Investigations - Assistance	180	
	<b>Investigations Subtotal</b>	<b>180</b>	<b>4.1%</b>
<b>Follow Up</b>			
CATS Report	Follow-up procedures conducted to verify the implementations status of past recommendations made	175	
	<b>Follow Up Subtotal</b>	<b>175</b>	<b>4.0%</b>
<b>General Reserve</b>			
TBD	Reserve for TBD Engagements	354	
	<b>General Reserve Subtotal</b>	<b>354</b>	<b>8.0%</b>
<b>Development - Operations</b>			
	Annual Risk Assessment and Audit Plan Development	175	
	Internal Audit Committee preparation and participation	200	
	Institutional Committees and Workgroups - Advisory Role	75	
	Quality Initiatives	100	
	UT System & SAO Reports and Requests	50	
	Automated Tools Skills Development and Maintenance	56	
	Training Provided by Internal Audit	40	
	Project Management Collaboration and Oversight	20	
	<b>Development - Operations Subtotal</b>	<b>716</b>	<b>16.3%</b>
<b>Development - Initiatives and Education</b>			
	System Audit Office Initiatives Participation	50	
	Individual Continuing Professional Education (CPE) Training, including related travel	160	
	<b>Development - Initiatives and Education Subtotal</b>	<b>210</b>	<b>4.8%</b>
	<b>Total Budgeted Hours</b>	<b>4402</b>	<b>100.0%</b>