

**UT Health Northeast Internal Audit Department
FY 2018 Internal Audit Plan**

Engagements	Project Number	Original Budget	Percent of Total
Risk Based Audits			
Stark Law Physician Contract Review	18-1	500	
Employee Licensure Audit (Non-Physician Employees)	18-2	375	
Controlled Substance Contracts Audit	18-3	375	
Carryforward Audits	18-4	100	
Risk Based Audits Subtotal		1,350	35.4%
Required Audits (External and/or Internal)			
Family Medicine Residency Program Grant Audit FYE 8/31/2017	18-5	90	
CPRIT Grant External Audit (assistance to management)	18-6	25	
UTS 142.1 Assurance Work	18-7	23	
State Institution of Higher Education Contracting Assessment	18-8	40	
Required Audits Subtotal		178	4.7%
Risk Based Consulting			
IT Advisory Project	18-9	350	
Patient Revenue Cycle Advisory Team Participation	18-10	150	
Electronic Medical Record Advisory Team Participation	18-11	100	
Institutional Committees and Workgroups - Advisory Role	18-12	100	
Training Provided by Internal Audit		50	
Consulting Subtotal		750	19.7%
Investigations			
Investigations - Assistance	18-13	50	
Investigations Subtotal		50	1.3%
Follow Up			
Follow-up procedures conducted to verify the implementation status of past recommendations made	CATS/ TM Reports	175	
Follow Up Subtotal		175	4.6%
Risk Based Reserve			
Reserve for TBD Engagements	TBD	300	
General Reserve Subtotal		300	7.9%
Development - Operations			
Annual Risk Assessment and Audit Plan Development		200	
Internal Audit Committee preparation and participation		200	
Quality Initiatives		150	
UT System & SAO Reports and Requests		50	
Automated Tools Skills Development and Maintenance		150	
Project Management Collaboration and Oversight		50	
Development - Operations Subtotal		800	21.0%
Development - Initiatives and Education			
System Audit Office Initiatives Participation		50	
Individual Continuing Professional Education (CPE) Training, including travel		160	
Development - Initiatives and Education Subtotal		210	5.5%
Total Budgeted Hours		3,813	100.0%