

UTHSCT Internal Audit Department  
FY 2019 Internal Audit Plan

Engagements	Project Number	Original Budget	Percent of Total
<b>Risk Based Audits</b>			
Stark Law Physician Contract Review	19-1	500	
Employee Off-Boarding Audit	19-2	350	
Network Management, Incident Detection and Response Audit (Texas Administrative Code Section 202 Audit)	19-3	300	
UTS 142.1 Assurance Work	19-4	40	
<b>Risk Based Audits Subtotal</b>		<b>1190</b>	<b>39.6%</b>
<b>Consulting Projects</b>			
Institutional Committees and Workgroups - Advisory Role	19-5	200	
Institutional Strategic Initiatives	19-6	40	
Training provided by Internal Audit	19-7	50	
Opioid Stewardship Committee - Advisory Role	19-8	50	
UT System Cyber Board Reporting Advisory Group	19-9	15	
<b>Consulting Subtotal</b>		<b>355</b>	<b>11.8%</b>
<b>Reserve</b>			
Reserve for TBD Engagements	TBD	250	
<b>Reserve Subtotal</b>		<b>250</b>	<b>8.3%</b>
<b>Required Audits</b>			
Executives' Travel and Entertainment Expenses Audit	19-10	125	
Family Medicine Residency Program Grant Audit FYE 8/31/2018	19-11	80	
State Institution of Higher Education Contracting Assessment	19-12	40	
Financial Statement Audit Assistance	19-13	15	
CPRIT Grant External Audit (assistance to management)	19-14	15	
<b>Required Audits Subtotal</b>		<b>275</b>	<b>9.2%</b>
<b>Investigations</b>			
Investigations - Assistance	19-15	50	
<b>Investigations Subtotal</b>		<b>50</b>	<b>1.7%</b>
<b>Follow-Up</b>			
Follow-up procedures conducted to verify the implementation status of past recommendations made	CATS/ TM Reports	60	
<b>Follow-Up Subtotal</b>		<b>60</b>	<b>2.0%</b>
<b>Development - Operations</b>			
Annual Risk Assessment and Audit Plan Development		150	
Internal Audit Committee preparation and participation		200	
Quality Initiatives		75	
UT System & SAO Reports and Requests		40	
Automated Tools Skills Development and Maintenance		40	
<b>Development - Operations Subtotal</b>		<b>505</b>	<b>16.8%</b>
<b>Development - Initiatives and Education</b>			
System Audit Office initiatives participation		50	
Professional organization/association participation		100	
Professional writing, publications, external presenting		50	
Individual Continuing Professional Education (CPE) Training, including related travel		120	
<b>Development - Initiatives and Education Subtotal</b>		<b>320</b>	<b>10.6%</b>
<b>Total Budgeted Hours</b>		<b>3005</b>	<b>100.0%</b>